

HARGREAVEHALE

INDIVIDUAL ■ INTELLIGENT ■ INVESTMENT

ANNUAL POLICY STATEMENT ON ANTI-SLAVERY
AND HUMAN TRAFFICKING IN ACCORDANCE WITH
THE UK MODERN SLAVERY ACT 2015 ("MSA").

SEPTEMBER 2016

Annual Policy Statement on Anti-Slavery and Human Trafficking in accordance with the UK Modern Slavery Act 2015 (“MSA”)

Hargreave Hale Limited is a stockbroker, fund manager and investment manager that is incorporated in and operates solely from offices in England and Wales.

Hargreave Hale Ltd is committed to social and environmental responsibility and supports the UK Government and International agencies in their fight against slavery and human trafficking.

We do not believe that our supply chain, which due to the nature of our business is small and national in its nature, poses a risk to these agencies’ objectives at this point in time.

On this basis we do not believe it necessary to conduct further due diligence of our suppliers, but this position will be reviewed annually to ensure it remains appropriate.